(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 11 October 2001 (11.10.2001)

PCT

(10) International Publication Number WO 01/76308 A1

(51) International Patent Classification⁷: H04B 1/69

101

Mikael [SE/SE]; Fatburs Kvarngata 2, S-118 64 Stockholm (SE). HENRIKSSON, Anders [SE/SE]; Tullgatan 6A, S-223 54 Lund (SE).

- (21) International Application Number: PCT/SE01/00674
- (22) International Filing Date: 28 March 2001 (28.03.2001)
- (25) Filing Language:

English

H04Q 7/38,

(26) Publication Language:

English

(30) Priority Data:

0001237-7

5 April 2000 (05.04.2000) SE

- (71) Applicant (for all designated States except US): TELIA AB (publ) [SE/SE]; Mårbackagatan 11, S-123 86 Farsta (SE).
- (72) Inventors; and

(75) Inventors/Applicants (for US only): ANDERSIN,

(74) Agent: SVENSSON, Peder; Telia Research AB, Vitsands-

- (81) Designated States (national): EE, LT, LV, NO, US.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

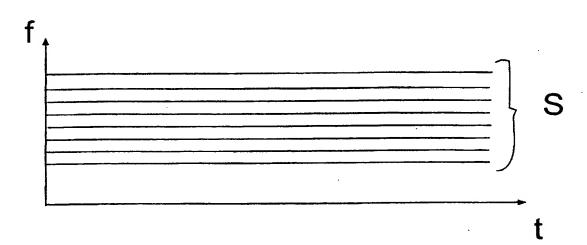
- with international search report

gatan 9, S-123 86 Farsta (SE).

 before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND DEVICE AT TELECOMMUNICATION SYSTEM



(57) Abstract: The present invention relates to a method and device at telecommunication systems for allocation of resource range to user from the given range capacity of the system. For the purpose an optimization problem is utilized that can be solved by Branch and Bound methods. Maximum is searched for, for the sum of all to the users allocated resources with an allocated weighting indicating the order of preference between the users. The indicated problem is solved provided that each user is allocated maximally one resource, that each user is allocated at least the resource that is necessary, and that the allocated resource does not exceed a defined highest resource. Further that the sum of all allocated resources does not exceed the total resources of the system. Calculation and allocation of the resource allocation is performed in one in the system integrated calculation and allocation device.

O 01/76308

TITLE OF THE INVENTION Method and device at telecommunication system

Technical field

The present invention relates to telecommunication networks, especially digital telecommunication networks, where coded transmission is applied. The invention is specifically aimed at allocation of resources in the CDMA-system to users to achieve an optimal utilization of available frequency range on the air.

Technical problem

30

In the social state of today the need to transmit and receive large amounts of information is increasing. For this reason there is a desire to utilize the available frequency range efficiently with regard to the resources of the system and the needs of the users at each moment. The implication of this is that the system in different situations has to take into consideration various needs of established and wanted communications. In CDMA (Code Division Multiple Access)-systems this possibility is given, but efficient methods for allocation of and sorting of information are lacking. The present invention aims at presenting a solution to above mentioned problem. UMTS (Universal Mobile Telecommunication System) is the European 25 term for the third generation of mobile systems which is planned to be in operation at the beginning of twenty-first century. The system has three defined modes which all have that in common that they are using CDMA in some form.

In order to discern between different users in CDMA-based systems, i.e. obtain orthogonality between them, different forms of codes are used, hence the name "Code Division Multiple Access". In UMTS WCDMA two kinds of codes are used, spreading codes and scrambling codes. The spreading code spreads information that shall be transmitted at a

chip rate of 3,84 Mchip/s. The length of the spreading code varies from 1-512 bit depending on present data speed. The scrambling codes on the other hand do not change the number of bits that shall be transmitted but only change the value of them according to a well defined schedule. In downlink it is the spreading code that guarantees the orthogonality between different users in the same cell. In order to maintain the orthogonality irrespective of which data speed that is used at the transmission, so called Orthogonal Variable Spreading Factor, OVSF-codes, are used. The OVSF-codes are easiest illustrated by a code tree, see Figure 4.

Each level in the code tree defines a channel code with length SF. All codes in the tree cannot be used at the same time within a cell. A code can be used in a cell if, and only if, no other code along the path from the specific code to the root of the code tree or in the sub-tree under the specific code is used in the cell.

It is easy to realize that a totally arbitrary allocation of codes results in a very inefficient use of the available codes. For this reason it is of great interest to in some way make the use of codes more efficient. The present invention provides an optimal allocation of codes based on criteria defined by the operator.

Prior art

35

Within mobile communication the technology has developed since the first systems appeared. In the first systems, according to Figure 1, a range S, was allocated within a given frequency spectrum. In order to satisfy the communication needs, the range, S, was divided into a number of channels, k, which were allocated to the users. In these first systems, the channels, k, were of the same size, and the transmission capacity were the same for all users irrespective of need. Systems with this construction

only allow one transmision (communication) at the same time, corresponding to the number of channels that are available within an area.

Concurrently with the growth of the mobile communication, the need for a larger number of transmissions has increased. This has been met with the introduction of digitized communication, which is shown in Figure 2. In this case the range, S, is still divided into a number of channels, but the information is transmitted in time slots, T1, T2 etc. This technology is called TDMA, which considerably increases the transmission capacity in the mobile radio networks. The technology consequently makes possible that more transmissions share one and the same frequency.

In common for each above mentioned technology is that they have been created for transmission of in the first place speech and at that have been given fixed frames for the use of them.

A development of the TDMA-system is the CDMA-system where the range, S, is not divided into a number of channels but the whole available range is utilized, at which defined codes are utilized to discern between the pieces of information that shall be identified. The technology for identification of and sorting of information is in itself since before known to the expert in the field.

30 The solution

20

The present invention relates to a method at telecommunication systems utilizing CDMA (Code Division Multiplex Access)-technology at exchange of information between users. Resources are allocated to users,

corresponding to at least a lowest requested capacity. A weighting, related to an order of priority between the

users, is further allocated each moment to the users. Based on available information, the capacity is allocated to the users according to a maximal allocation with regard to that the total capacity of the system is not exceeded.

5

The optimization problem that shall be solved is that maximum for the sum of all to the users allocated resources, with regard to the mentioned weighting, appoints an allocation of resources between the users. Further, allocated resources at each level shall correspond to one to user necessary lowest resource, and that the sum of all to the users allocated resources is covered by the total capacity of the system, and that each user is allocated one code at most. The mentioned weighting is further expressed by integers, which results in that the optimization problem is possible to solve by Branch and Bound methods. The solution makes possible that in the system available resources are allocated and reallocated between the users depending on the solution of the optimization problem. At allocation of the resources is aimed at that free resources are created, which constitute reserves for future needs.

The invention further relates to a device at telecommunication systems utilizing CDMA, Code Division Multiple Access, technology for exchange of information between users. Further, resources shall be allocated to the users. For the purpose the system is arranged to allocate to the users resources corresponding to at least a lowest indicated capacity. The system further allocates to the users a weighting according to the users at each time allocated order of priority. The system further includes a calculation and allocation unit which at each time calculates an optimal allocation of available resources, according to which resources are allocated or reallocated between the users within the total capacity of the system. The calculation and reallocation device is arranged to

calculate maximum for the sum of all to the users allocated resources in relation to indicated weightings. The solution of the optimization problem at that indicates how the resources shall be allocated between the users. The system further provides information of the calculation and allocation device indicating largest and smallest resources that respective user needs at each moment. The optimization problem is solved with regard to that the users are allocated at least the lowest resource that is needed and that the resources of the system are not exceeded. Consideration is further taken to that the optimization problem is solved with regard to that the users are allocated maximally one code.

Advantages

The invention makes possible that resources, codes in the CDMA-case, in a frequency spectrum is given an optimal allocation depending on the users' need at each time. This means on the one hand that the users are only allocated the channel range that is needed each time, on the other that the resources that exist are allocated in best possible way in order to create range for future needs.

Further, the invention presents a definition of the existing optimization problem, at which the problem can be set in a form which can be solved by Branch and Bound methods. The consequence of this is that mathematical methods suitable to be solved by means of computer programs can be introduced and connected with the allocation of channels to the users. Further advantages are that a priority between the users is introduced and this is allowed to vary depending on if the users have been allocated resources or not, and depending on the time of the day, the number of users each time etc.

PCT/SE01/00674 WO 01/76308 6

Description of figures

Figure 1 shows channel allocation in an analog radio system.

Figure 2 shows channel allocation in a packet oriented radio system.

Figure 3 shows the utilization of spectrum in a systemaccording to the invention.

Figure 4 shows the tree structure of channels according to the invention.

10

Preferred embodiment

In the following, the invention is described on basis of the figures and the terms in them.

The present invention relates to a method and device at telecommunication systems for allocation of resource range to users from the given capacity range of the system. For the purpose an optimization problem is utilized which can be solved by Branch and Bound methods. Maximum is searched 20 for the sum of all to the users allocated resources with an allocated weighting indicating the order of precedence between the users. The indicated problem is solved provided that all users are allocated maximally one resource; that each user is allocated at least the resource that is necessary, and that the allocated resource does not exceed 25 a defined highest resource. Further, that the sum of all allocated resources does not exceed the total resources of the system. Calculations and allocation of the resource allocation is performed in one in the system integrated calculation and allocation device. 30

Allocation of resources to users shall be performed according to a pattern which gives optimal utilization of available resources. Allocation is made in a code tree, which is shown in Figure 4, where consideration shall be taken to which resources that already have been allocated to users. In order to find out if a resource has been allocated to a user, a variable x with index i is defined for the user, and j for the resource. Said variable x is consequently given the value 1, if the resource is allocated to the user, or 0 when no resource is given to said user.

The number of resources at level j for users i is described by a variable y with index i indicating the user, and j indicating the level in question. The number of resources y at level j is defined as 2 raised to j. The value j is set to 512/SF, where SF is the spreading factor. That 512 is used in this connection is due to existing practice. Utilizing other numbers are as such no obstacle to the invention which allows any number within specified definitions. Further are defined a highest and a lowest value which the variable is allowed to take. The lowest value at this indicates the lowest resource that can be allocated to a user, x, to make it possible to him/her to execute the wanted communication. The maximal resource defines the largest resource that a user needs. The sum of all allocated resources which are utilized by the users shall amount to, or be below, the available capacity. Said total resource consequently shall be allocated between users the capacity needs of whom on the one hand are 25 different, and on the other can vary in time. Further, the users are given a weighting, α , which indicates how important it is that said user is allocated a resource in relation to other users. The weighting is allowed to vary depending on whether the user is already allocated a 30 resource or not. Further, the weighting indicates whether a user has a higher priority than another user. By these definitions consequently a possibility is given to decide orders of priority between users who have been allocated resources and those who shall be allocated resources, in the existing system. The indicated weighting as such is

allowed to take any value, but in order to create an optimization problem for x, it is of advantage to utilize integers. This results in an optimization problem where maximum is searched for, for the sum of all allocated resources with belonging weighting, provided that available resources are not exceeded, and that the user is given the lowest resource that is required, and that the sum of all to users allocated resources do not exceed the maximal capacity of the system, and that each user is only allocated one code which defines a resource being within an interval defined by the user's highest and lowest need. In the problem consequently is included to decide whether the users shall be allocated resources or not, and in the cases allocations are made, the size of the resource. With a set up as has been described above, a formulation has been created which is classic within the optimization theory, and which for instance is solved by means of Branch & Bound-methods, which can be found in manuals for optimization theory.

20

The following problems shall be solved

$$\max \sum_{i} \sum_{j} a_{i,j} x_{i,j} y_{i,j}; given: m_i \leq M_i \forall i$$

$$m_i \leq y_{i,j} \leq M_i$$

 $\boldsymbol{\alpha}$ is a weight which is set by the operator for each user.

given,
$$\sum_{i} \sum_{j} x_{i,j} y_{i,j} \le N$$

where N is the capacity in the base station, and

$$\sum_{i} x_{i,j} \le 1$$

further applies that

$$X_{t,T}$$

= binary variable which indicates if user i is allocated on resource j

$$x_{i,i} \in \{0,1\}, \sum_i x_{i,i} \leq 1$$

 $y_{i,i} = 2^{i}$

the number of resources for user i at level j, where j=512/SF where SF is the spreading factor

 $m_i \leq y_{i,j} \leq M_i$

30

where m(i) represents the lowest value of y(i,j) and M(i) the maximal value of y(i,j)

Orthogonality for the channels is further a demand in the solving of problem above.

In the formulation of the problem above, the weights, $\alpha_{i,j}$ have been selected as integers, which results in that the code allocation problem is set to a form which is known and where efficient methods for solving exist. The final value of the goal function, in optimum, is in this connection of secondary importance because only the vector x that reaches optimum is of interest. The absolute values of $\alpha_{i,j}$ consequently are not of interest, but only the relation between them. Because the weights can be set to arbitrary values, and especially integer values, a relative weighting between the terms can be achieved. By dividing the goal function by an integer is realized that a weighting can be achieved between the different components which is arbitrary close to a weighting where real values of the weights are allowed.

Because the problem is not static, but is changed by time, is required that the system continuously solves the above described optimization system. For this purpose the system

is given a module which has the task to calculate the optimization problem and to, based on its solution, allocate the resources between the users. The information required to find out the different needs of the users at each point of time are retrieved from respective user and from the operator/operators who are responsible for the users and the capacities that are needed.

At each moment consequently there is a collecting of information from users and operators regarding the wishes of the users, the criteria of the operators for the users in the calculating and resource allocating device. After that, the solution of the optimization problem is calculated, which solution not necessarily has to be solved exactly, but only has to be solved regarding the order of magnitude. At the solution of the optimization problem, however, is needed that the vector x shall be obtained as integer with elements the values of which are 0 or 1. The calculation module after that decides how the resources are allocated between the users and if the resource allocation shall be changed for one or more of the users with the intention to optimize the utilization of in the system available maximal resources and, as far as possible, create reserve range for future needs from the users.

20

25

The invention is not limited to in the above described example of embodiment, or to the following patent claims, but can be subject to modifications within the frame of the idea of the invention.

PATENT CLAIMS

- Method at telecommunication system, utilizing Code
 Division Multiple Access, CDMA, technology for
 transmission of information between users,
 c h a r a c t e r i z e d in that the users are
 allocated resources corresponding to at least a lowest
 capacity that the user requests, that the users at each
 moment are allocated a weighting indicating the users'
 priority between them, that the resources at each moment
 are allocated according to an optimal allocation with
 taking into account that the total resources of the
 system is not exceeded.
- 15 2. Method as claimed in patent claim 1, c h a r a c t e r i z e d in that maximum of the sum of the to each user weighted resources indicates the allocation of resources between the users.
- 3. Method as claimed in patent claim 1 or 2, character ized in that the optimization problem is solved provided that the allocated resource, at each level, for each user, at least corresponds to one for the user lowest resource, and that the allocated resource does not exceed the total resource of the system, and that each user is allocated maximally one code.
- 4. Method as claimed in any of the previous patent claims,

 characterized in that the weighting is
 indicated by integers, at which the optimization problem
 is solved by means of, for instance, Branch and Bound
 methods.
- 35 5. Method as claimed in any of the previous patent claims,

5

c h a r a c t e r i z e d in that the resources are allocated an reallocated between the users depending on the solution of the optimization problem, that the allocation aims at creating free resources which constitute reserves for changed needs of resources at the users, or for allocation of resources to future users.

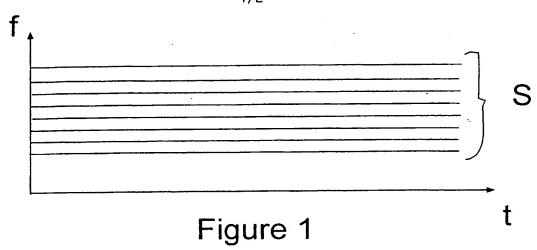
- 6. Device at telecommunication system, utilizing Code Division Multiple Access, CDMA, technology for exchange 10 of information, for allocation of resources to the users, characterized in, that the system is arranged to allocate to the users resources corresponding to at least a, by the users requested, lowest capacity, that the system is arranged to allocate 15 to the users an order of priority, weighting, depending on the order of precedence between the users, that a calculation and allocation unit is arranged to at each moment calculate an optimal allocation of the resources, and that, based on the calculated allocation, the users 20 are allocated and/or reallocated resources within the capacity of the system.
- 7. Device as claimed in patent claim 6,

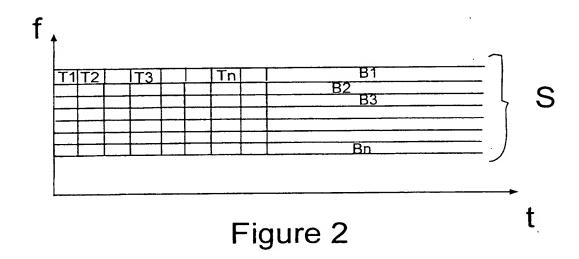
 c h a r a c t e r i z e d in that the calculation and allocation device is arranged to calculate maximum for the sum of to the users allocated resources in relation to the given weights, at which the solution of said optimization problem is arranged to indicate the allocation of the resources between the users.
- Device as claimed in patent claim 6 or 7,
 c h a r a c t e r i z e d in that, on basis of information given by the system, the calculation and allocation device is arranged to find out the smallest respective largest resource each user needs, and that

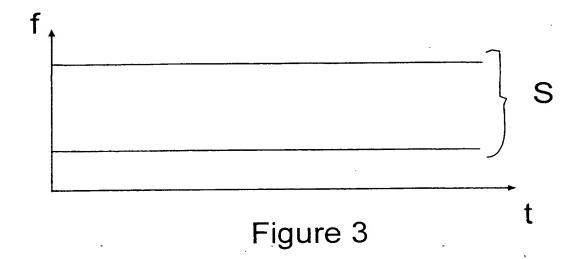
the optimization problem is arranged to be solved on condition that the users are allocated at least the lowest necessary resource, and that the total resources of the system are not exceeded.

5

- 9. Device as claimed in patent claim 6, 7 or 8, c h a r a c t e r i z e d in that each user is allocated maximally one code.
- 10 10. Device as claimed in patent claim 9, c h a r a c t e r i z e d in that the code defines the resource that is allocated to the user.







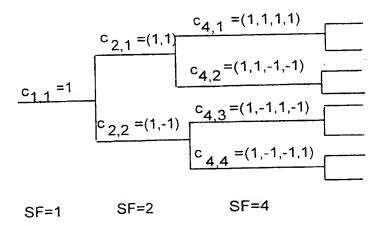


Figure 4

International application No.

PCT/SE 01/00674

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 7/38, H04B 1/69
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04B, H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCU	MENTS CONSIDERED TO BE RELEVANT	·	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
х	US 5914950 A (E.G. TIEDEMANN, JR. ET AL.), 22 June 1999 (22.06.99), column 4, line 39 - column 5, line 27; column 6, line 16 - column 8, line 65; column 11, line 31 - column 12, line 22, column 22, line 52 - column 23, line 12		
Y		4,7,10	
x	GB 2310972 A (MOTOROLA LIMITED), 10 Sept 1997 (10.09.97), page 5, line 17 - page 9, line 37	1,5,6,8	
Y		7,10	

•	Special categories of cited documents:	"X" document of particular relevance: the claimed invention cannot considered novel or cannot be considered to involve an invention				
-A*	document defining the general state of the art which is not considered to be of particular relevance					
"E"	earlier application or patent but published on or after the international filing date					
.r.	document which may throw doubts on priority claim(s) or which is	step when the document is taken alone				
	cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance: the claimed inventive step when the	ention cannot be			
O	document referring to an oral disclosure, use, exhibition or other means	combined with one or more other such documents being obvious to a person skilled in the art	s, such combination			
-P-	document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family	<u>-</u>			
Dat	e of the actual completion of the international search	Date of mailing of the international search report				
		0 2 -98- 2001				
30	July 2001	· · · · · · · · · · · · · · · · · · ·				
Name and mailing address of the ISA:		Authorized officer				
Sw	edish Patent Office					
	x 5055, S-102 42 STOCKHOLM	Bo Gustavsson/AE				
Fac	simile No. + 46 8 666 02 86	Telephone No. + 46 8 782 25 00				

11) tennend chault flute 1998)

INTERNATIONAL SEARCH REPORT

International application No.
PCT/SE 01/00674

ategory*	ation). DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant p	nassages	Relevant to claim	No.
	·		1	
(US 5752194 A (J-H LIN ET AL.), 12 May 1998 (12.05.98), see the whole document		4	
١.	DE 19835643 A1 (SIEMENS AG), 10 February 2000 (10.02.00), column 4, line 6 - column 7, line	2	1-10	
A	WO 9952307 A1 (TELEFONAKTIEBOLAGET LM ERICSSON), 14 October 1999 (14.10.99), see the whole do	cument	1-10	
			*	
	-			
	·			

INTERNATIONAL SEARCH REPORT Information on patent family members

02/07/01

International application No. PCT/SE 01/00674

Patent documented in search			Publication date			Publication date
US 59	914950	Α .	22/06/99	AU CN EP TW US WO ZA	7246698 A 1263675 T 0974237 A 391099 B 5923650 A 9845966 A 9802973 A	30/10/98 16/08/00 26/01/00 00/00/00 13/07/99 15/10/98 13/10/98
GB 23	310972	Α	10/09/97	CN EP GB JP US WO	1181852 A 0824805 A 9604822 D 11504788 T 6122291 A 9733393 A	13/05/98 25/02/98 00/00/00 27/04/99 19/09/00 12/09/97
US 57	752194	A	12/05/98	NONE		
DE 198	835643	A1	10/02/00	EP WO	1103109 A 0008789 A	30/05/01 17/02/00
WO 99	952307	A1	14/10/99	AU EP US US	3857199 A 1066729 A 5923592 A 6122211 A	25/10/99 10/01/01 13/07/99 19/09/00

This Page Blank (uspto)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.